

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Breier et al. Art Unit: Unassigned
Serial No.: 09/445,201 Examiner: Unassigned
Filed: December 2, 1999
Title: REGULATORY SEQUENCES CAPABLE OF CONFERRING EXPRESSION OF
A HETEROLOGOUS DNA SEQUENCE IN ENDOTHELIAL CELLS IN VIVO
AND USES THEREOF

Box PCT
Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL LABEL NUMBER: EL476992911US

DATE OF DEPOSIT: April 12, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER OF PATENTS, BOX PATENT APPLICATION, WASHINGTON, D.C. 20231

MIKHAIL BAYLEY

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 mailed February 11, 2000, enclosed are:

1. A copy of the Notification of Missing Requirements;
2. Certificate of Inheritance regarding Dr. Werner A. Risau (2 pages);
3. An executed Declaration for Patent Application (4 pages);
4. A Power of Attorney by Assignee (2 pages);
5. A Verified Statement (Declaration) Claiming Small Entity Status;
6. A Recordation Form Cover Sheet and executed Assignment (4 pages); and
7. Petition for Extension of Time (2 months).

In re Application of:
Breier et al.
Application No.: 09/445,201
Filed: December 2, 1999
Page 2

PATENT
Attorney Docket No.: VOSS1110

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims		=		X	\$09	\$18	=	\$.00	\$.00
Independent Claims		=		X	\$39	\$78	=	\$.00	\$.00
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$130	\$260			0
					BASIC FEE			\$345.00	\$690.00
					TOTAL FEE			\$.00	\$

The Commissioner is hereby authorized to charge payment of the TOTAL FEE of \$295.00 which includes \$65.00 surcharge fee for missing parts of the application, \$40.00 recordation fee for one (1) assignment, and \$190.00 for two (2) months Extension of Time, and any associated fees as noted below, or credit any overpayment, to Deposit Account No. 07-1895.
A copy of the Transmittal Sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16.
Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Date: 4/12/00



Lisa A. Haile, Ph.D.
Registration No. 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1600
San Diego, California 92121-2189

VERIFICATION OF TRANSLATION

RE: CERTIFICATE OF INHERITANCE

I, Andrea Stolz, of Weyarner Str. 24, 81547 München, Germany, am the translator of the Certificate of Inheritance regarding Dr. rer. nat. Werner August Risau and his wife, Barbara Risau, as sole heir, issued by the Local Court Butzbach, March 17, 1999, and I state that the following is a true translation to the best of my knowledge and belief.

(Signature of Translator)

A. Stolz

(Dated)

31.3.2000

Translation of the Certificate of Inheritance

Local Court Butzbach

Färbgasse 24 (PLZ 35510)

Phone: (0 60 33) 96 30 - 0

Fax: (0 60 33) 96 30 - 30

Account of the Court's Paying-In Office:

Volksbank Butzbach eG37 001 (BLZ 518 614 03)

Postal Address: Local Court - Postfach 3 10 - 35503 Butzbach

Ref.No. (always to be stated):
2 VI R 11/98

Branch

Date
March 17, 1999

Certificate of Inheritance

Dr. rer. nat. Werner August Risau

born December 18, 1953 in Rheine, District of Steinfurt

last residence in Butzbach/Nieder-Weisel

deceased December 13, 1998 in Hamburg

and

his wife

Barbara Risau, née Becher, born June 8, 1955,
resident of Dresdener Str. 2, 35510 Butzbach

was appointed

- sole heir -

W a c k
Judge

Executed
Butzbach, [date illegible]¹
[signature]
Drzewinski
Court Clerk
Certificating Official of
the Local Court

[Seal of the Local Court of Butzbach]

¹ Translator's note

09/445201

426 Rec'd PCT/PTO 02 DEC 1999

DOCUMENT: U.S. National filing under 35 U.S.C. 371
DOCKET NO.: VOSS1110
SERIAL NO.: NYA

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER EL360645103US

DATE OF DEPOSIT December 2, 1999

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231.

Mikhail Bayley

Mikhail Bayley

EL360645103US